



HUB



PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

TCG Advisors, LLC

900 South Capital of Texas Highway, Suite 350

Austin, Texas 78746

512-600-5220

www.tcgservices.com

April 2024

This Brochure provides information about the qualifications and business practices of TCG Advisors LLC, a HUB International company. If you have any questions about the contents of this Brochure, please contact Mariane Lee, Chief Compliance Officer at 917-858-2854 or Mariane.Lee@Hubinternational.com. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority.

Additional information about TCG Advisors, LLC is also available on the SEC's website at <https://www.adviserinfo.sec.gov/Firm> CRD #307956.

FORM ADV PART 2B
TCG Advisors LLC

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GARRETT ADAMS

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
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512-306-9939
April 2024

This Brochure Supplement provides information about Garrett Adams that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Garrett Adams is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1992

Education:

B.A., Oklahoma State University, 2012
Masters, Texas A&M University Law School, 2020
M.B.A., University of Iowa, 2022

Business Background:

Vice President	TCG Advisors, LLC	2023 – Present
Senior Wealth Advisor	TCG Advisors, LLC	2020 – 2023
Wealth Advisor	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Adams holds his Series 65 license and the CEPA and EA designations. Mr. Adams also holds insurance licenses in Life and Health and Property and Casualty in the state of Texas.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Exit Planning Advisor (CEPA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Exit Planning Institute
Qualification and Educational Requirements	
Prerequisites	<p>Candidates must meet all the following requirements:</p> <ul style="list-style-type: none"> • have five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity; • have an undergraduate degree from a qualifying institution or additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies); and

Certified Exit Planning Advisor (CEPA®)	
	<ul style="list-style-type: none"> • be an Exit Planning Institute member in good standing.
Designation Training Requirements	Five-day educational program
Designation Exam Type	Online, proctored, closed book final exam
Continuing Education Requirements	40 hours every three years

Enrolled Agent (EA)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Internal Revenue Service
Qualification and Educational Requirements	
Prerequisites	Pass a background check to ensure that applicant has not engaged in any conduct that would justify the suspension of an enrolled agent from practice before the IRS.
Designation Training Requirements	Candidates become an EA by either of the following paths: <ul style="list-style-type: none"> • Pass a written exam • Have accepted IRS experience IRS Circular 230 contains more detailed information about these two paths
Designation Exam Type	Written exam for path one
Continuing Education Requirements	<ul style="list-style-type: none"> • 72 hours of continuing education credits over a three-year enrollment period, with a minimum of 16 hours each year • Six hours of ethics training over a three-year enrollment period

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Garrett Adams is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Adams provides tax services to clients through an affiliate TCG Benefits. Mr. Adams activity in this area does not require a substantial amount of time or produces a substantial amount of compensation to Mr. Adams.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Adams for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Adams is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

NICOLE M. ALBRECHT

TCG ADVISORS, LLC
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April 2024

This Brochure Supplement provides information about Nicole Albrecht that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Nicole Albrecht is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1979

Education:

Ms. Albrecht did not complete formal education after high school.

Business Background:

Financial Advisor	TCG Advisors, LLC	2020 – Present
Financial Advisor	TCG Advisors, LP	2019 – 2020
Owner	Albrecht’s Elite Investments, LLC (FAS Investments LLC)	2007 – Present

Designations/Licenses:

Ms. Albrecht holds her Series 65 license and the EA designation.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Enrolled Agent (EA)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Internal Revenue Service
Qualification and Educational Requirements	
Prerequisites	Pass a background check to ensure that applicant has not engaged in any conduct that would justify the suspension of an enrolled agent from practice before the IRS.
Designation Training Requirements	Candidates become an EA by either of the following paths: <ul style="list-style-type: none">• Pass a written exam• Have accepted IRS experience IRS Circular 230 contains more detailed information about these two paths
Designation Exam Type	Written exam for path one
Continuing Education Requirements	<ul style="list-style-type: none">• 72 hours of continuing education credits over a three-year enrollment period, with a minimum of 16 hours each year• Six hours of ethics training over a three-year enrollment period

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Nicole Albrecht is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Ms. Albrecht currently serves as the CEO of Albrecht's Elite Investments, LLC (f.k.a. FAS Investments LLC). The LLC helps business owners create tax and financial strategies to improve business processes and profitability.

Ms. Albrecht CEO of Elite Tax Partners, Inc. a full-service business tax firm that specializes in business tax planning and preparation.

Ms. Albrecht is an Executive Board Member/Treasurer of Murrieta/Wildomar Chamber of Commerce. Ms. Albrecht's duties include managing the financial health of the organization which includes presenting financial reports to the board. Ms. Albrecht's involvement in this activity does not require a substantial amount of time or produce any compensation.

Ms. Albrecht is the CFO/Vice President of Mission Hope an organization designed to assist individuals and families struggling with homelessness and food insecurity. Ms. Albrecht's responsibilities may include strategic direction, supporting business goals, budget management, personnel oversight, meeting attendance, committee management, chief executive selection and spokesperson for Mission of Hope. Ms. Albrecht's involvement in this activity does not require a substantial amount of time or produce any compensation.

ITEM 5 – ADDITIONAL COMPENSATION

Ms. Albrecht receives additional compensation from Albrecht's Elite Investments, LLC.

ITEM 6 – SUPERVISION

Ms. Albrecht is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

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ITEM 1 – COVER PAGE

MATTHEW J. ANDERSON

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 512-306-9939
 April 2024

This Brochure Supplement provides information about Matthew Anderson that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Matthew Anderson is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1982

Education:

Bachelors, San Diego State University, 2005
 Executive Degree in Personal Financial Planning, San Diego State University, 2010

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2020 – Present
Senior Wealth Advisor	TCG Advisors, LP	2019 – 2020
Director of Financial Planning	Reason Financial	2016 – 2019
Financial Advisor	1 st Global	2013 – 2019

Designations/Licenses:

Mr. Anderson holds his Series 65 license and EA, CFP, and AIF designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Enrolled Agent (EA)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Internal Revenue Service
Qualification and Educational Requirements	
Prerequisites	Pass a background check to ensure that applicant has not engaged in any conduct that would justify the suspension of an enrolled agent from practice before the IRS.
Designation Training Requirements	Candidates become an EA by either of the following paths: <ul style="list-style-type: none"> • Pass a written exam • Have accepted IRS experience IRS Circular 230 contains more detailed information about these two paths
Designation Exam Type	Written exam for path one
Continuing Education Requirements	<ul style="list-style-type: none"> • 72 hours of continuing education credits over a three-year enrollment period, with a minimum of 16 hours each year

Enrolled Agent (EA)	
	<ul style="list-style-type: none"> Six hours of ethics training over a three-year enrollment period

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> A bachelor's degree (or higher) from an accredited college or university, and Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Candidate must complete a CFP-board registered program, or hold <u>one</u> of the following: <ul style="list-style-type: none"> Certified Public Accountant (CPA) Chartered Financial Consultant (ChFC) Chartered Life Underwriter (CLU) Chartered Financial Analyst (CFA) Ph.D. in financial planning, finance, business administration or economics Doctor of Business Administration attorney's license CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

Accredited Investment Fiduciary (AIF®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Center for Fiduciary Studies
Qualification and Educational Requirements	
Prerequisites	Candidate must meet a point-based threshold based on a combination of education, relevant industry experience and/or professional development.
Designation Training Requirements	Candidate must complete one of the following: <ul style="list-style-type: none"> Web-based program Capstone program
Designation Exam Type	Final certification exam, proctored closed book
Continuing Education Requirements	6 hours per year

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Matthew Anderson is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Anderson is an Online Professor for the University of California San Diego Extension Division where he teaches investment courses. Mr. Anderson's activity in this area does not require a substantial amount of time or produces a substantial amount of compensation to Mr. Anderson.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Anderson for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Anderson is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

DENNIS W. BIELIK

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512-306-9939
April 2024

This Brochure Supplement provides information about Dennis Bielik that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Dennis Bielik is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1986

Education:

Bachelors, University of Texas at Arlington, 2009
Masters, University of Texas at Arlington, 2011

Business Background:

VP, Managing Director	TCG Advisory Services, LLC	2020 – Present
VP, Managing Director	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Bielik holds his Series 65 license and CFA, CFP, CAPM, and FRM designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Chartered Financial Analyst (CFA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	CFA Institute
Qualification and Educational Requirements	
Prerequisites	Candidates must: <ol style="list-style-type: none">1. meet one of the following requirements prior to enrollment in the CFA Program:<ul style="list-style-type: none">o hold a bachelor's or equivalent degree from a college/university;o be within 11 months of the graduation month for a bachelor's degree or equivalent program by the date of sitting for the Level I exam; oro have a combination of 4,000 hours of work experience and/or higher education that was acquired over a minimum of three sequential years by the date of enrolling for the Level I exam;

	<p>2. have 4,000 hours of qualified work experience in the investment decision-making process (accrued before, during, or after participation in the CFA Program); and</p> <p>3. submit two-to-three professional reference letters.</p>
Designation Training Requirements	Self-study program
Designation Exam Type	Three in-person, proctored, closed-book, computer-based exams
Continuing Education Requirements	None

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	<p>Candidate must meet the following requirements:</p> <ul style="list-style-type: none"> • A bachelor's degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	<p>Complete a CFP-board registered program, or hold one of the following:</p> <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

Certified Associate in Project Management (CAPM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Project Management Institute (PMI)
Qualification and Educational Requirements	
Prerequisites, Education Requirements	<p>To apply for the exam candidates must:</p> <ul style="list-style-type: none"> • Have a secondary diploma (high school or global equivalent) • Have at least 23 hours of project management education completed before the exam
Designation Exam Type	A three hour, 150 question exam.
Continuing Education Requirements	Need to earn 15 professional development units in a three-year cycle.

Financial Risk Manager (FRM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Global Association of Risk Professionals (GARP)
Qualification and Educational Requirements	

Financial Risk Manager (FRM®)	
Prerequisites	None
Designation Training Requirements	None
Designation Exam Type	Multiple choice paper exam given in two parts
Continuing Education Requirements	Voluntary—recommend 40 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Dennis Bielik is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Bielik is the Treasurer for Creative Action where he helps operate the finance committee and after school program. Mr. Bielik's involvement with Creative Action does not require a substantial amount of time and does not produce compensation to Mr. Bielik.

Mr. Bielik is also a Passive Investor in Angel's Icehouse and Your Green Package. Mr. Bielik's investments in these businesses does not require a substantial amount of time and does not produce compensation to Mr. Bielik.

Mr. Bielik is the Owner of VREIT, LLC a holdings company for personal investing. Mr. Bielik's involvement with the LLC does not require a substantial amount of time and does not produce income to Mr. Bielik.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Bielik for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Bielik is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
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 917-858-2854
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ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

ROBERT K. BRITTON

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Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Robert Britton that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Robert Britton is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1979

Education:

B.A. International Economics, San Diego State University, 2003

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2020 – Present
Portfolio Manager	WFA Wealth Managers	2013 – 2020

Designations/Licenses:

Mr. Britton holds his Series 66 license and CFA and CFP designations.

Series 66 - The Series 66 is an exam administered by the Financial Industry Regulatory Authority (FINRA) and qualifies individuals as investment advisor representatives or securities agents. The Series 66 covers topics relevant to providing investment advice and effecting securities transactions for clients.

Chartered Financial Analyst (CFA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	CFA Institute
Qualification and Educational Requirements	
Prerequisites	Candidates must: <ol style="list-style-type: none">meet one of the following requirements prior to enrollment in the CFA Program:<ul style="list-style-type: none">hold a bachelor's or equivalent degree from a college/university;be within 11 months of the graduation month for a bachelor's degree or equivalent program by the date of sitting for the Level I exam; orhave a combination of 4,000 hours of work experience and/or higher education that was acquired over a minimum of three sequential years by the date of enrolling for the Level I exam;have 4,000 hours of qualified work experience in the investment decision-making process (accrued before, during, or after participation in the CFA Program); andsubmit two-to-three professional reference letters.

Designation Training Requirements	Self-study program
Designation Exam Type	Three in-person, proctored, closed-book, computer-based exams
Continuing Education Requirements	None

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor's degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Candidate must complete a CFP-board registered program, or hold <u>one</u> of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Robert Britton is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Britton is a member of the Vine House Bed & Breakfast, LLC, where he primarily does the record keeping and general management. Mr. Britton's involvement with the Vine House does not require a substantial amount of time and does not produce compensation to Mr. Britton.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Britton for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Britton is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and

evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
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917-858-2854
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ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

RYAN J. CANALES

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 512-306-9939
 April 2024

This Brochure Supplement provides information about Ryan Canales that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Ryan Canales is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1975

Education:

Bachelor of Fine Arts in English Communication Studies, St. Mary’s University, 1998

Business Background:

Senior Wealth Advisor	TCG Advisory Services, LLC	2021 – Present
Retirement Plan Specialist	Empower Wealth Advisors	2019 – 2021
Investment Adviser Rep.	Stonewater Wealth Management Inc.	2018 – 2019

Designations/Licenses:

Mr. Canales holds his Series 65 license and CPFA and NQPA designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Plan Fiduciary Advisor (CPFA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	National Association of Plan Advisors
Qualification and Educational Requirements	
Prerequisites	None
Designation Training Requirements	Optional completion of 10 hours of interactive online education
Designation Exam Type	Proctored, closed-book exam
Continuing Education Requirements	10 hours annually

Nonqualified Plan Advisor (NQPA™)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	National Association of Plan Advisors
Qualification and Educational Requirements	
Prerequisites	None

Nonqualified Plan Advisor (NQPA™)	
Designation Training Requirements	Optional online, interactive self-study courses
Designation Exam Type	Proctored, closed-book exam
Continuing Education Requirements	10 hours annually

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Ryan Canales is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Canales is also involved in the sale of Life, Disability, LTC and Health Insurance. All new sales go primarily through HUB International. Mr. Canales involvement with these sales does not require a substantial amount of time and does not produce a substantial amount of compensation to Mr. Canales.

Mr. Canales also sells FinPath and Consulting services in his role with TCG Advisors. Mr. Canales involvement with these sales does not require a substantial amount of time and does not produce a substantial amount of compensation to Mr. Canales.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Canales for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Canales is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

BRIAN G. CARNEY

TCG ADVISORS, LLC
d/b/a Bridgecreek Investment Management
4521 East 91st Street, Suite 300
Tulsa, OK 74137
918-392-1990
April 2024

This Brochure Supplement provides information about Brian Carney that supplements the TCG Advisors, LLC d/b/a Bridgecreek Investment Management (“TCG Advisors or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of that Brochure. Please contact Mariane Lee, our Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Brian Carney is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1973

Education:

M.B.A., Texas Christian University, 1998
B.A. in Business Administration, William Jewell College, 1996

Business Background:

Investment Advisor	TCG Advisors, LLC	2022 – Present
Representative CIO, CCO	Bridgecreek Investment Management, LLC	2004 – 2023

Designations/Licenses:

Mr. Carney holds Series 65 license and CPM, CWM, and CIMA designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Chartered Portfolio Manager (CPM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Academy of Certified Portfolio Managers
Qualification and Educational Requirements	
Prerequisites	Candidates must have: <ul style="list-style-type: none">• a certificate, diploma or academic degree providing evidence of a four-year undergraduate degree;• three years of employment in the financial services industry; or• a letter of recommendation, for candidates already employed in the financial services industry, written by a supervisor.
Designation Training Requirements	Complete a self-study program, followed by an on-campus week at a university partner
Designation Exam Type	Online, proctored, closed-book course exams

Continuing Education Requirements	20 hours of CE credits per calendar year
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Chartered Wealth Manager (CWM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Global Academy of Finance and Management (GAFM)
Qualification and Educational Requirements	
Prerequisites	Candidates must meet both of the following prerequisites: <ol style="list-style-type: none"> 1. One of the following: <ul style="list-style-type: none"> ○ Qualifying accredited degree in the relevant area of expertise from an ASBSP-, AACSB-, ABA-, or CHEA- recognized program; ○ Completion of GAFM-approved accredited training program; or ○ CPA, accounting license, law license, MBA, MS, PhD, DBA or JD from an accredited school or organization; and 2. Three years of related work experience.
Designation Training Requirements	None
Designation Exam Type	None
Continuing Education Requirements	15 hours annually

Certified Investment Management Analyst (CIMA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Investments & Wealth Institute
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • Three years of financial services experience; and • A satisfactory record of ethical conduct, as determined by Investments & Wealth Institute Admissions Committee.
Designation Training Requirements	<ul style="list-style-type: none"> • Educational component offered by one of the approved Registered Education Providers. • In-class program at The Wharton School, University of Pennsylvania, or online through Yale School of Management.
Designation Exam Type	Qualification Examination and Certification Examination (online, proctored)
Continuing Education Requirements	40 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Brian Carney is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Carney.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Carney for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Carney is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

KEATON CHRISTIAN

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512.306.9939
April 2024

This Brochure Supplement provides information about Keaton Christian that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Keaton Christian is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Date of Birth:
2001

Education:
Bachelors Business Administration, Finance, The University of Texas at Austin, 2023

Business Background:

Private Wealth Advisor	TCG Advisors, LLC	2023 – Present
Off Ice Official	Texas Stars	2023 – 2023
Waiter	Scottish Rite Dormitory	2020 – 2023
Full-time Student	University of Texas at Austin	2019 – 2023
Trade Desk Intern	TCG Advisory Services, LLC	2022 – 2022
Customer Success Intern	MVPIndex	2022 – 2022
Camp Counselor	T Bar M Camps and Retreats	2021 – 2021
Outside Service Assistant	Mira Vista Country Club	2017 – 2019
Student	Paschal High School Full-time Student	2015 – 2019

Designations/Licenses:

Mr. Christian holds their Series 65 license.

Series 65—The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Keaton Christian is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Christian.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Christian for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Christian is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

MARQUES T. CORMIER

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Marques Cormier that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Marques Cormier is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1983

Education:

B.A. in Finance, The University of the Incarnate Word, Present

Business Background:

Senior Wealth Advisor	TCG Advisory Services, LLC	2020 – Present
Investment Advisor Representative	ZT Wealth Advisory	2016 – 2020

Designations/Licenses:

Mr. Cormier holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Marques Cormier is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Cormier is a Board Member for Foundation 99 a non-profit organization where he works with other board members to strategize fundraising opportunities, assist with onboarding of new clients and does some volunteer financial coaching. Mr. Cormier’s involvement with the non-profit does not require a substantial amount of time and does not produce compensation to Mr. Cormier.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Cormier for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Cormier is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent

violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

DANIEL DRIVER

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Daniel Driver that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Daniel Driver is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1985

Education:

Bachelors in Finance, University of Texas at Arlington, 2009

Business Background:

Financial Advisor	TCG Advisory Services, LLC	2020 – Present
Financial Advisor	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Driver holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Daniel Driver is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Driver.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Driver for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Driver is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and

evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

SHERRY L. ECHART (RUSSELL)

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Sherry Echart that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Sherry Echart is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1969

Education:

Ms. Echart has completed several college hours at Tarrant County College and University of North Texas.

Business Background:

Retirement Plan Specialist	TCG Advisors, LLC	2020 – Present
Retirement Plan Specialist	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Ms. Echart holds her Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Sherry Echart is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Ms. Echart.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Ms. Echart for providing advisory services.

ITEM 6 – SUPERVISION

Ms. Echart is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and

evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

MATTHEW ESCALANTE

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Matthew Escalante that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Matthew Escalante is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1982

Education:

B.A. Business Management, California State University, Fullerton, 2005

Business Background:

SVP, Senior Director	HUB International, Inc.	2021 – Present
SVP, Senior Director	TCG Advisors, LLC	2020 – Present
SVP, Senior Director	TCG Advisors, LP	2006 – 2020

Designations/Licenses:

Mr. Escalante holds his CFP designation.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Matthew Escalante is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Escalante spends at least half of time on the FinPath Financial Wellness product offered through RPW Solutions. Mr. Escalante receives a substantial amount of his compensation through his work with FinPath.

Mr. Escalante also is involved with Cypher Security offered through Cypher Security, LLC a HUB International company. Mr. Escalante’s activity in this area does not require a substantial amount of time or produce a substantial amount of compensation to Mr. Escalante.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Escalante for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Escalante is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

MARK ESSENFELD

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Mark Essenfeld that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Mark Essenfeld is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1959

Education:

Bachelors, DePaul University, 1982
 Masters, Bowling Green State University, 1989

Business Background:

Financial Advisor	TCG Advisors, LLC	2020 – Present
Financial Advisor	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Essenfeld currently holds his Series 65 license and the CFP and AIF designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> attorney's license CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

Accredited Investment Fiduciary (AIF®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Center for Fiduciary Studies
Qualification and Educational Requirements	
Prerequisites	Candidate must meet a point-based threshold based on a combination of education, relevant industry experience and/or professional development.
Designation Training Requirements	Candidate must complete one of the following: <ul style="list-style-type: none"> Web-based program Capstone program
Designation Exam Type	Final certification exam, proctored closed book
Continuing Education Requirements	6 hours per year

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Mark Essenfled is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Essenfled is the Treasurer for Life's Plan Inc. a non-profit organization. Mr. Essenfled does not control any accounts but does provide guidance and oversight on financial matters relating to the operation of the non-profit. Mr. Essenfled involvement with the non-profit does not require a substantial amount of time and does not produce compensation to Mr. Essenfled.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Essenfled for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Essenfled is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Interim Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

GEORGE RANDYLL (RANDY) FEID

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about George Feid that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about George Feid is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1960

Education:

Bachelors in Science Marine Engineering, United States Coast Guard Academy, 1982
Master in Business Administration, University of New Orleans, 1989

Business Background:

VP Business Development	TCG Advisors, LLC	2021 – Present
VP Industry Partnerships	Horace Mann	2016 – 2021

Designations/Licenses:

Mr. Feid holds his Series 24, Series 52, Series 53, and Series 7 licenses. Mr. Feid is also a Chartered Financial Consultant (ChFC). Mr. Feid holds multiple insurance license including - Life, Accident, Health, Property and Casualty.

Series 24 - The Series 24 exam, or the General Securities Principal Qualification exam (GP), assesses the competency of an entry-level principal to perform their job as a principal dependent on their corequisite registrations. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a principal. Candidates are required to pass the Securities Industry Essentials exam (SIE) and the Series 16 exam in order to hold an appropriate principal registration.

Series 52 - The Series 52 is a licensing test that permits an individual to trade municipal securities. Also known as the Municipal Securities Representative Qualification Examination, it’s an initial step toward a career as a municipal securities representative. The exam is a prerequisite for the Series 53 license.

Series 53 - The Series 53 is a licensing test that permits an individual to supervise the municipal securities activities of a securities firm or bank dealer and become a Municipal Securities Principal. The exam also permits the holder to do the record-keeping, as well as the processing, clearing, and safekeeping of municipal securities, and the training of principals and representatives. The Series 53 exam can only be attempted if the candidate previously passed the Series 52 exam.

Series 7 - Stockbrokers in the United States need to pass the Series 7 exam to obtain a license to trade. The Series 7 is a co-requisite of the Series 66, which means it needs to be successfully completed along with the Series 66 before a candidate may apply to register in a state. Candidates are required to take the Securities Industry Essentials (SIE) exam before the Series 7 exam. Completion of the Series 7 exam is a prerequisite for many other securities licenses.

Chartered Financial Consultant (ChFC®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	The American College of Financial Services
Qualification and Educational Requirements	
Prerequisites	Three years of full-time business experience within the five years preceding the awarding of the designation; and a high school diploma or the equivalent.
Designation Training Requirements	Eight online, self-study courses
Designation Exam Type	Closed-book, proctored final course exams
Continuing Education Requirements	30 CE credits every two years, including one hour of ethics CE

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Randy Feid is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Feid.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Feid for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Feid is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information: Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

JOHN C. FOWLER

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about John Fowler that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about John Fowler is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1979

Education:

Bachelors of Science in Electrical Engineering, University of Texas at Arlington, 2003

Business Background:

Private Wealth Advisor, Portfolio Manager	TCG Advisors, LLC	2020 – Present
Private Wealth Advisor, Portfolio Manager	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Fowler holds his Series 66 and General Lines (insurance) licenses and CFP designation.

Series 66 - The Series 66 is an exam administered by the Financial Industry Regulatory Authority (FINRA) and qualifies individuals as investment advisor representatives or securities agents. The Series 66 covers topics relevant to providing investment advice and effecting securities transactions for clients.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> A bachelor’s degree (or higher) from an accredited college or university, and Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> Certified Public Accountant (CPA) Chartered Financial Consultant (ChFC) Chartered Life Underwriter (CLU) Chartered Financial Analyst (CFA) Ph.D. in financial planning, finance, business administration or economics Doctor of Business Administration attorney's license

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about John Fowler is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Fowler.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Fowler for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Fowler is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

L. CHARLES (CHUCK) FULLER

TCG ADVISORS, LLC
d/b/a Bridgecreek Investment Management
 4521 East 91st Street, Suite 300
 Tulsa, OK 74137
 918-392-1990
 April 2024

This Brochure Supplement provides information about L. Charles Fuller that supplements the TCG Advisors, LLC d/b/a Bridgecreek Investment Management (“TCG Advisors or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of that Brochure. Please contact Mariane Lee, our Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about L. Charles Fuller is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1963

Education:

M.B.A., University of Tulsa, 1999
 B.A. in Accounting, University of Tulsa, 1991
 B.A. in Political Science, Oklahoma State University, 1987

Business Background:

Investment Advisor Representative	TCG Advisors, LLC	2022 – Present
CEO	Bridgecreek Investment Management, LLC	2004 – 2023

Designations/Licenses:

Mr. Fuller holds Series 65 license and CPM, CWM, and CIMA designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative. The Series 65 is one of the more basic exams and does not require any prerequisites other than being sponsored by FINRA.

Chartered Portfolio Manager (CPM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Academy of Certified Portfolio Managers
Qualification and Educational Requirements	
Prerequisites	Candidates must have: <ul style="list-style-type: none"> a certificate, diploma or academic degree providing evidence of a four-year undergraduate degree; three years of employment in the financial services industry; or a letter of recommendation, for candidates already employed in the financial services industry, written by a supervisor.
Designation Training Requirements	Complete a self-study program, followed by an on-campus week at a university partner
Designation Exam Type	Online, proctored, closed-book course exams

Chartered Portfolio Manager (CPM®)	
Continuing Education Requirements	20 hours of CE credits per calendar year

Chartered Wealth Manager (CWM®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Global Academy of Finance and Management (GAFM)
Qualification and Educational Requirements	
Prerequisites	Candidates must meet both of the following prerequisites: <ol style="list-style-type: none"> 2. One of the following: <ul style="list-style-type: none"> ○ Qualifying accredited degree in the relevant area of expertise from an ASBSP-, AACSB-, ABA-, or CHEA- recognized program; ○ Completion of GAFM-approved accredited training program; or ○ CPA, accounting license, law license, MBA, MS, PhD, DBA or JD from an accredited school or organization; and 2. Three years of related work experience.
Designation Training Requirements	None
Designation Exam Type	None
Continuing Education Requirements	15 hours annually

Certified Investment Management Analyst (CIMA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Investments & Wealth Institute
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • Three years of financial services experience; and • A satisfactory record of ethical conduct, as determined by Investments & Wealth Institute Admissions Committee.
Designation Training Requirements	<ul style="list-style-type: none"> • Educational component offered by one of the approved Registered Education Providers. • In-class program at The Wharton School, University of Pennsylvania, or online through Yale School of Management.
Designation Exam Type	Qualification Examination and Certification Examination (online, proctored)
Continuing Education Requirements	40 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about L. Charles Fuller is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Fuller.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Fuller for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Fuller is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ALEXANDER J. GARCIA

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Alexander Garcia that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Alexander Garcia is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1992

Education:

Bachelor of Science in Personal Financial Planning, Texas Tech University, 2015

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2021 – Present
Wealth Manager	Stone Asset Management, Inc.	2018 – 2021

Designations/Licenses:

Mr. Garcia holds his Series 65 license and CFP designation.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney’s license

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Alexander Garcia is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Garcia.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Garcia for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Garcia is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

CHARLES GHOLAMI

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Charles Gholami that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Charles Gholami is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1995

Education:

MS Finance, University of Oklahoma, 2022
MBA, University of Oklahoma, 2022
BBA Marketing, University of Oklahoma, 2018

Business Background:

Licensed Associate	TCG Advisors, LLC	2023 – Present
Investment Analyst	Full Sail Capital	2022 – 2023
Student	University of Oklahoma	2020 – 2022
Investment Analyst Internship	Tom Johnson Investment Management	2021 – 2021
Corporate Accounting Internship	First United Bank	2021 – 2021
Cash and Banking Specialist	Infosync Services	2019 – 2020

Designations/Licenses:

Mr. Gholami holds their Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Charles Gholami is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Gholami.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Gholami for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Gholami is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

CRISTHIAN GONZALES

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-600-5291
April 2024

This Brochure Supplement provides information about Cristhian Gonzales that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Cristhian Gonzales is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1996

Education:

B.A. Economics, The University of Texas at Austin, 2020

Business Background:

Associate, Analyst, Intern	TCG Advisors, LLC	2019 – Present
Student		2019
Night Operations	University of Texas	2017 – 2019

Designations/Licenses:

Mr. Gonzales holds their Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Cristhian Gonzales is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Gonzales.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Gonzales for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Gonzales is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent

violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

JASON HESSLER

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Jason Hessler that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Jason Hessler is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1976

Education:

J.D., Indiana University, McKinney School of Law, 2005
 B.S., Purdue University, Financial Planning and Counseling, 2001

Business Background:

Investment Advisor Rep	TCG Advisors, LLC	2021 – Present
Of Counsel	Graham, Farrer & Wilson	2019 – Present
Owner	Hessler LLC, d.b.a. Hessler Legal Group, Full Suite Wealth	2019 – Present
Senior Vice President Personal Trust	Alta Trust	2020 – 2022
President of Trust Services	OneAmerica	2018 – 2019

Designations/Licenses:

Mr. Hessler holds his Series 66 license and CFP designation.

Series 66 - The Series 66 is an exam administered by the Financial Industry Regulatory Authority (FINRA) and qualifies individuals as investment advisor representatives or securities agents. The Series 66 covers topics relevant to providing investment advice and effecting securities transactions for clients.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC)

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Jason Hessler is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Hessler is the Owner of Hessler LLC, that provides estate planning and wealth transfer strategies. He is also Of Counsel with Graham, Farrer & Wilson, where he provides Counsel, Estate Settlement and Corporate Banking Services.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Hessler for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Hessler is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

BRADLEY R. HOEGLER

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Bradley Hoegler that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Bradley Hoegler is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1977

Education:

Bachelors in Communication Studies, University of Texas, Austin, 2000

Business Background:

Senior Retirement Plan Specialist	TCG Advisors, LLC	2020 – Present
Senior Financial Consultant	TD Ameritrade	2003 – 2020

Designations/Licenses:

Mr. Hoegler holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Bradley Hoegler is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Hoegler.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Hoegler for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Hoegler is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and

evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

ERNEST JAY HORN

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Jay Horn that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Jay Horn is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1984

Education:

B.B.A. in Management Entrepreneurship, Texas State University, 2009
M.B.A., Texas State University, 2014

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2021 – Present
Financial Advisor	Raymond James	2018 – 2021
Financial Advisor	Southside Bank	2018 – 2021

Designations/Licenses:

Mr. Horn holds his Series 65 license and a Texas Life and Health Insurance license

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Jay Horn is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Horn currently is a Professor at Texas State University where he lectures multiple courses that cover content related to personal finance. Mr. Horn’s activity in this area does not require a substantial amount of time or produces a substantial amount of compensation to Mr. Horn.

Mr. Horn is also a Board Trustee for Texas State University Development Foundation. Mr. Horn’s involvement with the board does not require a substantial amount of time and does not produce compensation for Mr. Horn.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Horn for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Horn is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

KEVIN HULL

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Kevin Hull that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Kevin Hull is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1993

Education:

B.B.A. in Finance, Texas State University, 2017

Business Background:

Client Associate	TCG Advisors, LLC	2020 – Present
Client Associate, Reporting Analyst	TCG Advisors, LLC	2020 – 2021
Client Associate, Reporting Analyst	TCG Advisors, LP	2019 – 2020

Designations/Licenses:

Mr. Hull holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Kevin Hull is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Hull.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Hull for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Hull is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

CHRIS JAMAIL

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Chris Jamail that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Chris Jamail is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1980

Education:

B.B.A., Texas A&M University, 2002

Business Background:

Chief Marketing Officer,	TCG Advisors, LLC	2020 – Present
Chief Investment Officer		
Chief Investment Officer,	TCG Advisors, LP	2004 – 2020
Chief Operations Officer, Partner		

Designations/Licenses:

Mr. Jamail is currently licensed as a CFP.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney’s license • CFP certification from outside the U.S.

Certified Financial Planner (CFP®)	
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Chris Jamail is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Jamail is also a member of TCG Group Holdings, LP (“TCG Group Holdings”). TCG Group Holdings is the former holding company for TCG Advisors prior to its acquisition by HUB International. TCG Holdings currently exists as a pass through entity for compensation payments to certain of its former partners, including Mr. Jamail and is expected to be wound down by end of 2024. Mr. Jamail spends approximately 1-5% of his time on this entity.

Mr. Jamail is a Board Member for Foundation 99 a non-profit organization. Mr. Jamail’s involvement with the non-profit does not require a substantial amount of time and does not produce compensation to Mr. Jamail.

Mr. Jamail is also a Board Member for the Jared Jamail Memorial Scholarship Fund a non-profit organization. Mr. Jamail’s involvement with the non-profit does not require a substantial amount of time and does not produce compensation to Mr. Jamail.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Jamail for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Jamail is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

Michelle Jensen

TCG ADVISORS, LLC
d/b/a Bridgecreek Investments
13100 N. Western Ave, #115
Oklahoma City, OK 73114
405-613-7657
April 2024

This Brochure Supplement provides information about Michelle Jensen that supplements the TCG Advisors, LLC d/b/a Bridgecreek Investments (“TCG Advisors or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of that Brochure. Please contact Mariane Lee, our Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Michelle Jensen is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1966

Education:

B.B.A Finance, Fort Hays State University, 1990

Business Background:

Investment Advisor Representative	TCG Advisors, LLC	2024 – Present
Registered Representative	Charles Schwab	2022 – 2024
Private Client Advisor	J.P. Morgan Chase Bank & JP Morgan Securities, LLC	2021 – 2022
Investment Advisor Representative & Registered Representative	Cetera Investment Advisers LLC	2020 – 2021
Financial Advisor	First Fidelity Bank	2016 – 2021

Designations/Licenses:

Ms. Jensen holds Series 65 license and an Oklahoma Life and Health Insurance License.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Michelle Jensen is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES ITEM 4 – OTHER BUSINESS ACTIVITIES

Ms. Jensen provides insurance services to clients through an affiliate HUB International. Ms. Jensen’s activity in this area does not require a substantial amount time or produces a substantial amount of compensation to Ms. Jensen.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Ms. Jensen for providing advisory services.

ITEM 6 – SUPERVISION

Ms. Jensen is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

CHRISTOPHER LADUKE

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512.306.9939
April 2024

This Brochure Supplement provides information about Christopher Laduke that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Christopher Laduke is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1996

Education:

BA in Economics, Minor in Business, University of Colorado at Boulder, 2019

Business Background:

Telewealth Advisor	TCG Advisors, LLC	2023 – Present
Unemployed		2023 – 2023
Account Executive	Crestwood Associates, LLC	2022 – 2023
Account Executive	OnePulse, Inc.	2022 – 2022
Unemployed		2022 – 2022
Account Executive	Goldfish Social, Inc.	2021 – 2022
Unemployed		2021 – 2021
Sales Development Representative	StratiFi Technologies, Inc.	2019 – 2021

Designations/Licenses:

Mr. Laduke holds their Series 65 license.

Series 65—The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Christopher Laduke is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Laduke.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Laduke for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Laduke is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

ERIC M. LIGHT

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Eric Light that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Eric Light is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1980

Education:

Bachelors Engineering, Vanderbilt University, 2002
M.B.A., Columbia Business School, 2009

Business Background:

Portfolio Manager	TCG Advisors, LLC	2020 – Present
Portfolio Manager	TCG Advisors, LP	2018 – 2020

Designations/Licenses:

Mr. Light holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Eric Light is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Light is the Owner of TK Clinic LLC, where he provides high level consulting on strategic capital and investment decisions. Mr. Light’s activity in this area does not require a substantial amount of time and does not produce a substantial amount of compensation to Mr. Light.

Mr. Light is also Owner/Trustee of Pickard Fulshear LLC, a holdings company for property. Mr. Light’s activity in this area does not require a substantial amount of time and does not produce a substantial amount of compensation to Mr. Light.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Light for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Light is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

HARRISON MACDONALD

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Harrison MacDonald that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Harrison MacDonald is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1999

Education:

Mr. MacDonald attended The University of Arizona but did not complete a degree. He is currently pursuing the completion of his bachelor’s degree.

Business Background:

Associate Advisor	TCG Advisors, LLC	2021 – Present
Student	University of Arizona	2017 - 2021
Intern	RBC Wealth Management	2019 – 2020

Designations/Licenses:

Mr. MacDonald holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Harrison Macdonald is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. MacDonald.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. MacDonald for providing advisory services.

ITEM 6 – SUPERVISION

Mr. MacDonald is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent

violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

VINCENT MATTHEWS

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Vincent Matthews that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Vincent Matthews is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1965

Education:

Degree in Finance, Spring Hill College, 1988

Business Background:

Wealth Advisor	TCG Advisors, LLC	2023 – Present
Registered Representative	Empower Financial Services, Inc	2022 – 2023
Investment Advisor Representative	Cetera Investment Advisers LLC	2022 – 2022
Registered Representative	Cetera Investment Services LLC	2022 – 2022
Registered representative	Hancock Whitney Bank	2020 – 2022
Senior Financial Advisor	Hancock Whitney Investment Services	2020 – 2022
Investment Advisor Representative	L&L Financial Services, LLP	2020 – 2020
Mass Transfer	TD Ameritrade Inv Management	2018 – 2019

Designations/Licenses:

Mr. Matthews holds their Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Vincent Matthews is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Matthews.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Matthews for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Matthews is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

RYAN MAYER

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Ryan Mayer that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Ryan Mayer is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1977

Education:

Bachelor's of Science in Business, Small Business Management and Entrepreneurship, University of Pheonix, 2013-2014

Business Background:

Wealth Advisor	TCG Advisors, LLC	2023 – Present
Unemployed		2021 – 2023
Investment Consultant	TD Ameritrade	2018 – 2021

Designations/Licenses:

Mr. Mayer holds their Series 65 license and CFP and CSLP designation.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA)

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

Certified Student Loan Professional (CSLP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Designation Notes	Formerly known as Certified Student Loan Advisor (CSLA).
Issuing Organization	Certified Student Loan Advisors Board of Standards
Qualification and Educational Requirements	
Prerequisites	Two years of industry experience in financial services OR a Bachelor's degree in business finance from an accredited college or university. AND Hold a license and/or registration in a regulated financial services industry (brokerage, investment adviser services, insurance).
Designated Training Requirements	Multi-module self-study.
Designation Examination Type	Final certification examination (online, proctored)
Continuing Education Requirements	Yes. Typically, annual completion of video module(s)

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Ryan Mayer is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Mayer.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Mayer for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Mayer is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
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917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

PATRICK F. MAYNOR

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Patrick Maynor that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Patrick Maynor is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1986

Education:

B.A. Economics, Stanford University, 2009

M.B.A., University of Georgia, 2011

Business Background:

Portfolio Manager	TCG Advisors, LLC	2020 – Present
Portfolio Manager	TCG Advisors, LP	2020 – 2020
Analyst and Equity Sales Trader	Merrill Lynch	2012 – 2019

Designations/Licenses:

Mr. Maynor holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Patrick Maynor is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Maynor.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Maynor for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Maynor is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent

violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

SIMON MISELIS

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Simon Miselis that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Simon Miselis is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1985

Education:

B.A. in Finance, Kent State University, 2010

Business Background:

VP of ERISA Compliance/Account Executive	TCG Advisors, LLC	2023 – Present
Sr. Regulatory Compliance Mngr	TCG Advisors, LLC	2020 – 2023
Sr. Regulatory Compliance Mngr	TCG Advisors, LP	2019 – 2020
Various Positions	Charles Schwab	2011 – 2019

Designations/Licenses:

Mr. Miselis holds his Series 65 license and QPA and CPC designations.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Qualified Pension Administrator (QPA®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	American Society of Pension Professionals & Actuaries (ASPPA)
Qualification and Educational Requirements	
Prerequisites	Candidates must hold the QKC credential to be eligible for the QPA credential.
Designation Training Requirements	Completion of the prerequisites.
Designation Exam Type	66 multiple-choice questions; candidates have 2.5 hours to complete it.
Continuing Education Requirements	40 credit hours every two years (two of which must be ethics) and renew ASPPA membership annually.

Certified Pension Consultant (CPC)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	American Society of Pension Professionals & Actuaries (ASPPA)
Qualification and Educational Requirements	
Prerequisites	Three years of retirement plan experience.
Designation Training Requirements	Completion of the following ASPPA examinations: <ul style="list-style-type: none"> • Retirement Plan Fundamentals exam series (RPF-1 & RPF-2): Online, open book • Defined Contribution Administrative Issue–Basic Concepts (DC-1): Proctored, closed book • Defined Contribution Administrative Issues–Compliance Issues (DC-2): Proctored, closed book • Defined Contribution Administrative Issues– Advanced Topics (DC-3): Proctored, closed book • Basics of Defined Benefit Plans • Certified Pension Consultant Core Modules (4) • Certified Pension Consultant Elective Modules (2)
Designation Exam Type	See above.
Continuing Education Requirements	40 credit hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Simon Miselis is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Miselis.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Miselis for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Miselis is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

SARAH J. NADIRI

TCG ADVISORS, LLC
 4335 North Star Way, Suite D
 Modesto, CA 95356
 559-981-3694
 April 2024

This Brochure Supplement provides information about Sarah Nadiri that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Sarah Nadiri is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1992

Education:

Bachelors in Human Communication, California State University, Monterey Bay, 2014

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2021 – Present
Associate Advisor	Regency Investment Advisors, Inc	2018 – 2021

Designations/Licenses:

Ms. Nadiri holds her Series 65 license and CFP designation.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration

Certified Financial Planner (CFP®)	
	<ul style="list-style-type: none"> attorney's license CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Sarah Nadiri is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Ms. Nadiri.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Ms. Nadiri for providing advisory services.

ITEM 6 – SUPERVISION

Ms. Nadiri is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information: Mariane Lee
 Chief Compliance Officer
 917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

JOHN PESCE

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about John Pesce that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about John Pesce is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1965

Education:

CFP™ Courses, University of St. Thomas, 1996

Business Background:

Manager, Investment Advisor Representative	TCG Advisors, LLC	2020 – Present
Partner	TCG Group Holdings, LP	2003 – Present
Manager	TCG Advisors, LP	2001 – 2020

Designations/Licenses:

Mr. Pesce holds his Series 65 license.

Series 65 – The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about John Pesce is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Pesce currently serves as Partner of TCG Group Holdings, LP (“TCG Group Holdings”). TCG Group Holdings is the former holding company for TCG Advisors prior to its acquisition by HUB International. TCG Holdings currently exists as a pass through entity for compensation payments to certain of its former partners, including Mr. Pesce and is expected to be wound down by end of 2024. Mr. Pesce spends approximately 1-5% of his time on this entity.

Mr. Pesce is a Board Member for Foundation 99 a non-profit organization. Mr. Pesce’s involvement with the non-profit does not require a substantial amount of time and does not produce compensation to Mr. Pesce.

Mr. Pesce is a Board Member for Care Solace, a Mental Health Platform. Mr. Pesce's involvement does not require a substantial amount of time and does not produce compensation for Mr. Pesce.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Pesce for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Pesce is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

MICHAEL BLAKE RHODES

TCG ADVISORS, LLC
 900 S. Capital of Texas Highway, Suite 350
 Austin, Texas 78746
 512-306-9939
 April 2024

This Brochure Supplement provides information about Michael Blake Rhodes that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Michael Blake Rhodes is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1976

Education:

B.B.A (Finance), University of Texas Austin, 1999

Business Background:

Director of Financial Planning	TCG Advisors, LLC	2020 – Present
Bond Portfolio Manager	TCG Advisors, LP	2005 – 2020

Designations/Licenses:

Mr. Rhodes is currently licensed as a CFP.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	Candidate must meet the following requirements: <ul style="list-style-type: none"> • A bachelor’s degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	Complete a CFP-board registered program , or hold one of the following: <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney’s license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Blake Rhodes is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There is no other business activity that requires a substantial amount time or produces a substantial amount of compensation to Mr. Rhodes.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Rhodes for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Rhodes is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer ("CCO"), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
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917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

HUDSON RUEN

TCG ADVISORS, LLC
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Austin, Texas 78746
512.306.9939
April 2024

This Brochure Supplement provides information about Hudson Ruen that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Hudson Ruen is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

2000

Education:

BBA in Finance, McMurry University, 2022

Business Background:

Telewealth Advisor	TCG Advisors, LLC	2023 – Present
Bartender	Spare Birdie	2022 – 2023
Sales Rep	Premium Retail	2021 – 2022
Student	McMurry University	2019 – 2022
Warehouse Worker	Shruumz	2018 – 2019
Student	Leander High School	2015 – 2019

Designations/Licenses:

Mr. Ruen holds their Series 65 license.

Series 65—The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Hudson Ruen is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Ruen is a Bartender at the Spare Birdie, Mr. Ruen’s bartending does not require a substantial amount of time or produce a substantial amount of compensation to Mr. Ruen.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Ruen for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Ruen is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

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Chief Compliance Officer
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Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

DAKOTA STATON

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Dakota Staton that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Dakota Staton is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1999

Education:

Bachelors, University of Nevada, Reno, 2021

Business Background:

Wealth Advisor	TCG Advisors, LLC	2023 – Present
Financial Professional	The Prudential Ins Co of America	2022 – 2023
Registered Representative	Pruco Securities LLC	2022 – 2023
Barback/Expo	The Hoppy Monk	2022 – 2022
Financial Services Professional	Cuellar and Associates	2021 – 2022
Life and Health Agent	New York Life	2021 – 2021
Business Analyst	Dermal Therapeutics	2020 – 2021
Frozen Team Lead	Raleys	2017 – 2020
Finance and Accounting Intern	Incline Village	2019 – 2019

Designations/Licenses:

Mr. Staton holds their Series 65 license, and Life and Health Insurance licenses in California and Texas.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Dakota Staton is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Staton.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Staton for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Staton is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

JOHN R. STURGIS

TCG ADVISORS, LLC
6565 Americas Parkway NE, Suite 720
Albuquerque, NM 87110
512-600-5298
April 2024

This Brochure Supplement provides information about John Sturgis that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about John Sturgis is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1961

Education:

Bachelor of Science in Business Administration, Chapman College, 1986

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2021 – Present
Branch Manager	TD Ameritrade	2018 – 2020

Designations/Licenses:

Mr. Sturgis holds his Series 4, Series 7, Series 24, Series 52, Series 53, Series 65 licenses. He also holds the CFP designation.

Series 4 - The Series 4, or the Registered Options Principal Qualification Exam (OP), assesses the competency of an entry-level principal to perform their job as a registered options principal. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a registered options principal, including the rules and statutory provisions applicable to the supervisory management of a firm’s options personnel, accounts and trading, as well as exchange rules and regulations applicable to the trading of options contracts. Candidates are required to pass the Securities Industry Essentials Exam (SIE) and Series 7 Exam in order to hold the Registered Options Principal Registration.

Series 7 - Stockbrokers in the United States need to pass the Series 7 exam to obtain a license to trade. The Series 7 is a co-requisite of the Series 66, which means it needs to be successfully completed along with the Series 66 before a candidate may apply to register in a state. Candidates are required to take the Securities Industry Essentials (SIE) exam before the Series 7 exam. Completion of the Series 7 exam is a prerequisite for many other securities licenses.

Series 24 - The Series 24 exam, or the General Securities Principal Qualification exam (GP), assesses the competency of an entry-level principal to perform their job as a principal dependent on their corequisite registrations. The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a principal. Candidates are required to pass the Securities Industry Essentials exam (SIE) and the Series 16 exam in order to hold an appropriate principal registration.

Series 52 - The Series 52 is a licensing test that permits an individual to trade municipal securities. Also known as the Municipal Securities Representative Qualification Examination, it’s an initial step toward a career as a municipal securities representative. The exam is a prerequisite for the Series 53 license.

Series 53 - The Series 53 is a licensing test that permits an individual to supervise the municipal securities activities of a securities firm or bank dealer and become a Municipal Securities Principal. The exam also permits the holder to do the record-keeping, as well as the processing, clearing, and safekeeping of municipal securities, and the training of principals and representatives. The Series 53 exam can only be attempted if the candidate previously passed the Series 52 exam.

Series 63 - The Series 63 is an entry-level securities exam and license entitling the holder to solicit orders for any type of security in a particular state. To obtain a Series 63 license, candidates must pass an exam and possess knowledge of ethical practices and fiduciary obligations. Successfully completing the Securities Industry Essentials exam (SIE) is required to be eligible to take the Series 63 exam. To sell securities, broker-dealers must obtain the Series 63 license as well as the Series 7 or Series 6.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual's knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Financial Planner (CFP®)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	Certified Financial Planner Board of Standards, Inc.
Qualification and Educational Requirements	
Prerequisites	<p>Candidate must meet the following requirements:</p> <ul style="list-style-type: none"> • A bachelor's degree (or higher) from an accredited college or university, and • Three years of full-time personal financial planning experience or the equivalent part-time experience (2,000 hours equals one year full-time).
Designation Training Requirements	<p>Complete a CFP-board registered program, or hold one of the following:</p> <ul style="list-style-type: none"> • Certified Public Accountant (CPA) • Chartered Financial Consultant (ChFC) • Chartered Life Underwriter (CLU) • Chartered Financial Analyst (CFA) • Ph.D. in financial planning, finance, business administration or economics • Doctor of Business Administration • attorney's license • CFP certification from outside the U.S.
Designation Exam Type	Proctored final certification exam
Continuing Education Requirements	30 hours every two years

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about John Sturgis is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Mr. Sturgis.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Sturgis for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Sturgis is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

MAEGAN VILLARREAL

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512.306.9939
April 2024

This Brochure Supplement provides information about Maegan Villarreal that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Maegan Villarreal is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Date of Birth:

1997

Education:

Ms. Villarreal did not complete formal education after high school.

Business Background:

Retirement Planning Specialist, Account Executive/Account Manager	TCG Advisors, LLC	2021 – Present
Analyst	TCG Advisors, LLC	2020 – 2021
Intern, Analyst	TCG Advisors, LP	2017 – 2020

Designations/Licenses:

Ms. Villarreal holds their Series 65 license.

Series 65—The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Maegan Villarreal is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Ms. Villarreal.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Ms. Villarreal for providing advisory services.

ITEM 6 – SUPERVISION

Ms. Villarreal is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

DR. ERIC WILLIAMS

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Eric Williams that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Eric Williams is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1965

Education:

B.A., College of William and Mary, 1988
M.P.P., Harvard University, 1992
Ed.D., Boston University, 1997

Business Background:

VP Business Development	TCG Advisors, LLC	2023 – Present
Superintendent	Clear Creek ISD	2021 – 2022
Superintendent	Loudoun County Public Schools	2014 – 2020

Designations/Licenses:

Mr. Williams holds his Series 65 license.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about Eric Williams is available on the SEC’s website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Mr. Williams sells HUB FinPath through an affiliate RPW Solutions. Mr. Williams activity in this area does not require a substantial amount of time or produce a substantial amount of compensation to Mr. Williams.

Mr. Williams provides Retirement Plan Consulting through TCG Consulting. Mr. Williams activity in this area does not require a substantial amount of time or produce a substantial amount of compensation to Mr. Williams.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Mr. Williams for providing advisory services.

ITEM 6 – SUPERVISION

Mr. Williams is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.

ITEM 1 – COVER PAGE

CARRIE YATES

TCG ADVISORS, LLC
900 S. Capital of Texas Highway, Suite 350
Austin, Texas 78746
512-306-9939
April 2024

This Brochure Supplement provides information about Carrie Yates that supplements the TCG Advisors, LLC (“TCG Advisors” or the “Firm”) Brochure (“Firm Brochure”). You should have received a copy of the Firm Brochure. Please contact Mariane Lee, Chief Compliance Officer, if you did not receive TCG Advisors Firm Brochure or if you have any questions about the contents of this supplement. Additional information about Carrie Yates is available on the SEC’s website at www.adviserinfo.sec.gov.

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Born:

1975

Education:

Bachelor’s in Marketing, Southern New Hampshire University, 2021

Business Background:

Senior Wealth Advisor	TCG Advisors, LLC	2021 – Present
Branch Manager	TD Ameritrade	2017 – 2021

Designations/Licenses:

Ms. Yates holds her Series 65 license and the CEP designation.

Series 65 - The Series 65, officially known as the Uniform Investment Adviser Law Exam, is designed to test an individual’s knowledge and ability to advise clients in the area of investing and to discuss general financial concepts. Most state securities regulators have set the Series 65 as the minimum requirement to become an investment advisor representative.

Certified Estate Planner (CEP)	
Designation Essentials	
Status	Currently offered and recognized by the issuing organization.
Issuing Organization	National Institute of Certified Estate Planners
Qualification and Educational Requirements	
Prerequisites	Valid current license in either the financial, legal or tax profession or receive permission for enrollment based on some other relevant professional interest
Designation Training Requirements	Combination of online and self-study courses (eight modules)
Designation Exam Type	Proctored exam
Continuing Education Requirements	Eight hours every two years in the area of estate planning

ITEM 3 – DISCIPLINARY INFORMATION

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each investment advisory representative who provides investment advisory services. There is presently no information that is applicable to this Item. Additional information about

Carrie Yates is available on the SEC's website at www.adviserinfo.sec.gov, and at FINRA broker check at <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck>.

ITEM 4 – OTHER BUSINESS ACTIVITIES

There are no other business activities that require a substantial amount time or that produce a substantial amount of compensation to Ms. Yates.

ITEM 5 – ADDITIONAL COMPENSATION

There is no additional compensation provided to Ms. Yates for providing advisory services.

ITEM 6 – SUPERVISION

Ms. Yates is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. TCG maintains a supervisory structure and system reasonably designed to prevent violations of the Investment Advisers Act of 1940. Mariane Lee, Chief Compliance Officer (“CCO”), or her designee is responsible for administering the policies and procedures for supervising investment advisory activities and evaluating their effectiveness. The CCO reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation.

CCO contact information:

Mariane Lee
Chief Compliance Officer
917-858-2854
Mariane.Lee@Hubinternational.com

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISORS

TCG Advisors is registered with the SEC and therefore this section does not apply.